

This maple script estimates the magnitude of the elastic strain energy of a nonhydrostatically stressed isotropic solid.

The derivation corrects a math error in the expression of Connolly (Equation 29 of J.A.D. Connolly, 2009, *Geochemistry Geophysics Geosystems*, 10:10, Q10014, doi:10.1029/2009GC002540) who gives the total strain energy of an isotropic solid as

$$U = V_0 * ((e[1]^2 + e[2]^2 + e[3]^2) * c[1,1] / 2 + (e[1]*e[2] + e[1]*e[3] + e[2]*e[3]) * 2 * c[1,2] + (e[4]^2 + e[5]^2 + e[6]^2) * c[4,4] / 2)$$

rather than

$$U = V_0 * ((e[1]^2 + e[2]^2 + e[3]^2) * c[1,1] / 2 + (e[1]*e[2] + e[1]*e[3] + e[2]*e[3]) * c[1,2] + (e[4]^2 + e[5]^2 + e[6]^2) * c[4,4] / 2),$$

the difference being a factor of two in the second term of the second factor, where  $e[i]$  and  $c[i,j]$  are the elastic strain components and stiffness coefficients. The strain components  $e[i]$  are related to the elements of the strain tensor  $e[j,k]$  as:  $e[1] = e[1,1]$ ,  $e[2] = e[2,2]$ ,  $e[3] = e[3,3]$ ,  $e[4] = 2*e[2,3] = 2*e[3,2]$ ,  $e[5] = 2*e[1,3] = 2*e[3,1]$ ,  $e[6] = 2*e[2,1] = 2*e[1,2]$ .

To make an order of magnitude assesment of the effect, non-hydrostatic (deviatoric and differential) stresses are assumed to be of magnitude  $\Delta\sigma$  ("delta sigma" in Equation 30 of Connolly 2009) and the shear modulus ( $\mu$ ) is estimated as one third the bulk modulus ( $K$ ).

Below

C - stiffness matrix

$c[i,j]$  - stiffness matrix component  $i,j$

Epsilon1 - vector form of the strain tensor in Voigt notation.

Sigma1 - vector form of the stress tensor in Voigt notation.

DU - the total elastic strain energy (relative to the unstrained state).

strain\_energy - the nonhydrostatic strain energy

the derivation follows from Callen 1985 assuming a Hookean elastic solid.

```
> restart;
> for i from 1 to 6 do;
> for j from 1 to 6 do;
> c[i,j] := 0 :
> end do;end do;
> eq := 2*mu *(1 + vnu) = 3* K*(1-2*vnu);
```

```
> nu := solve (eq, vnu);
> E := 2*mu*(1+nu);
```

compliance matrix elements for an isotropic solid:

```
> c11 := 2*mu + (K-2/3*mu); c44 := mu; c12 := c11 - 2*c44;
> c[1,1] := c11: c[2,2] := c[1,1]: c[3,3] := c[1,1]: c[1,2] := c12:
  c[1,3] := c[1,2]: c[2,3] := c[1,2]: c[2,1] := c[1,2]: c[3,1] :=
  c[1,2]: c[3,2] := c[1,2]: c[4,4] := c44: c[5,5] := c[4,4]: c[6,6]
  := c[4,4]:
> with(LinearAlgebra):
> C := Matrix(6,6,c);
> Sigma :=
  Vector(6,[sigma[1],sigma[2],sigma[3],sigma[4],sigma[5],sigma[6]]):
> Epsilon1 :=
  Vector(6,[epsilon[1],epsilon[2],epsilon[3],epsilon[4],epsilon[5],e
  psilon[6]]):
> Sigma := collect(simplify(C.Epsilon1),{mu,K});
```

compliance matrix S, Epsilon is the strain components in terms of stress.

```
> S := MatrixInverse(C);
> Epsilon := collect(simplify(S.Sigma1),{mu,K});
```

total strain energy/V0

```
> total_strain_energy := 0:
> for k from 1 to 6 do:
>   total_strain_energy := total_strain_energy +
  Sigma[k]*epsilon[k]/2 :
> end do:
```

$$eq := 2 \mu (1 + \nu) = 3 K (1 - 2 \nu)$$

$$\nu := \frac{3 K - 2 \mu}{2 (3 K + \mu)}$$

$$E := 2 \mu \left( 1 + \frac{3 K - 2 \mu}{2 (3 K + \mu)} \right)$$

$$c_{11} := \frac{4 \mu}{3} + K$$

$$c_{44} := \mu$$

$$c_{12} := K - \frac{2 \mu}{3}$$

$$\begin{aligned}
C &:= \begin{bmatrix} \frac{4\mu}{3} + K & K - \frac{2\mu}{3} & K - \frac{2\mu}{3} & 0 & 0 & 0 \\ K - \frac{2\mu}{3} & \frac{4\mu}{3} + K & K - \frac{2\mu}{3} & 0 & 0 & 0 \\ K - \frac{2\mu}{3} & K - \frac{2\mu}{3} & \frac{4\mu}{3} + K & 0 & 0 & 0 \\ 0 & 0 & 0 & \mu & 0 & 0 \\ 0 & 0 & 0 & 0 & \mu & 0 \\ 0 & 0 & 0 & 0 & 0 & \mu \end{bmatrix} \\
\Sigma &:= \begin{bmatrix} K(\varepsilon_1 + \varepsilon_2 + \varepsilon_3) + \left(\frac{4}{3}\varepsilon_1 - \frac{2}{3}\varepsilon_2 - \frac{2}{3}\varepsilon_3\right)\mu \\ K(\varepsilon_1 + \varepsilon_2 + \varepsilon_3) + \left(-\frac{2}{3}\varepsilon_1 + \frac{4}{3}\varepsilon_2 - \frac{2}{3}\varepsilon_3\right)\mu \\ K(\varepsilon_1 + \varepsilon_2 + \varepsilon_3) + \left(-\frac{2}{3}\varepsilon_1 - \frac{2}{3}\varepsilon_2 + \frac{4}{3}\varepsilon_3\right)\mu \\ \mu \varepsilon_4 \\ \mu \varepsilon_5 \\ \mu \varepsilon_6 \end{bmatrix} \\
S &:= \begin{bmatrix} \frac{3K + \mu}{9\mu K} & -\frac{3K - 2\mu}{18\mu K} & -\frac{3K - 2\mu}{18\mu K} & 0 & 0 & 0 \\ -\frac{3K - 2\mu}{18\mu K} & \frac{3K + \mu}{9\mu K} & -\frac{3K - 2\mu}{18\mu K} & 0 & 0 & 0 \\ -\frac{3K - 2\mu}{18\mu K} & -\frac{3K - 2\mu}{18\mu K} & \frac{3K + \mu}{9\mu K} & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{\mu} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{\mu} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{1}{\mu} \end{bmatrix}
\end{aligned}$$

$$E := \begin{bmatrix} \frac{\frac{1}{3}\sigma_1 - \frac{1}{6}\sigma_2 - \frac{1}{6}\sigma_3}{\mu} + \frac{\frac{1}{9}\sigma_1 + \frac{1}{9}\sigma_2 + \frac{1}{9}\sigma_3}{K} & & & & & & \\ -\frac{\frac{1}{6}\sigma_1 + \frac{1}{3}\sigma_2 - \frac{1}{6}\sigma_3}{\mu} + \frac{\frac{1}{9}\sigma_1 + \frac{1}{9}\sigma_2 + \frac{1}{9}\sigma_3}{K} & & & & & & \\ -\frac{\frac{1}{6}\sigma_1 - \frac{1}{6}\sigma_2 + \frac{1}{3}\sigma_3}{\mu} + \frac{\frac{1}{9}\sigma_1 + \frac{1}{9}\sigma_2 + \frac{1}{9}\sigma_3}{K} & & & & & & \\ & & & \frac{\sigma_4}{\mu} & & & \\ & & & & & & \frac{\sigma_5}{\mu} \\ & & & & & & \frac{\sigma_6}{\mu} \\ & & & & & & \mu \end{bmatrix}$$

the bulk strain is  $\text{tr}(\text{epsilon})/3 \cdot I$ , drop I

```
> Eps_tr := (epsilon[1] + epsilon[2] + epsilon[3]) / 3;
```

eps\_tr is the symbolic version of Eps\_tr/3:

```
> dilational_strain_energy :=
collect(subs(epsilon[1]=eps_tr,epsilon[2]=eps_tr,epsilon[3]=eps_tr
,epsilon[4]=0,epsilon[5]=0,epsilon[6]=0,total_strain_energy),{mu,K
});
> deviatoric_strain_energy := collect(simplify(subs(epsilon[2] =
-(epsilon[1]+epsilon[3]),subs(eps_tr=Eps_tr,total_strain_energy -
dilational_strain_energy))),{mu,K});
```

$$Eps\_tr := \frac{1}{3}\epsilon_1 + \frac{1}{3}\epsilon_2 + \frac{1}{3}\epsilon_3$$

$$dilational\_strain\_energy := \frac{9 K eps\_tr^2}{2}$$

$$deviatoric\_strain\_energy := \mu \left( 2\epsilon_1^2 + 2\epsilon_1\epsilon_3 + 2\epsilon_3^2 + \frac{1}{2}\epsilon_4^2 + \frac{1}{2}\epsilon_5^2 + \frac{1}{2}\epsilon_6^2 \right)$$

```
> simplify(deviatoric_strain_energy);
```

$$\frac{1}{2}\mu (4\epsilon_1^2 + 4\epsilon_1\epsilon_3 + 4\epsilon_3^2 + \epsilon_4^2 + \epsilon_5^2 + \epsilon_6^2)$$

```
> deviatoric_strain_energy_in_deviatoric_strain :=
collect(simplify(subs(epsilon[1]=epsilon1[1]+eps_tr,epsilon[3]=epsilon1[3]+eps_tr,epsilon[2]=-(epsilon1[1]+epsilon1[3])+eps_tr,deviatoric_strain_energy)),{mu,K});
```

```
deviatoric_strain_energy_in_deviatoric_strain :=
```

$$\left(2 \varepsilon_1^2 + 2 \varepsilon_1 \varepsilon_3 + 2 \varepsilon_3^2 + \frac{1}{2} \varepsilon_4^2 + \frac{1}{2} \varepsilon_5^2 + \frac{1}{2} \varepsilon_6^2\right) \mu$$

```
> deviatoric_strain_energy_in_stress :=
collect(subs(epsilon[1]=Epsilon[1],epsilon[2]=Epsilon[2],epsilon[3]=Epsilon[3],epsilon[4]=Epsilon[4],epsilon[5]=Epsilon[5],epsilon[6]=Epsilon[6],deviatoric_strain_energy),{mu,K});
```

$$\begin{aligned} \text{deviatoric\_strain\_energy\_in\_stress} := & \left( \frac{4}{3} \left( \frac{1}{3} \sigma_1 - \frac{1}{6} \sigma_2 - \frac{1}{6} \sigma_3 \right)^2 + \frac{2}{3} \left( -\frac{1}{6} \sigma_1 + \frac{1}{3} \sigma_2 - \frac{1}{6} \sigma_3 \right)^2 \right. \\ & - \frac{2}{3} \left( -\frac{1}{6} \sigma_1 + \frac{1}{3} \sigma_2 - \frac{1}{6} \sigma_3 \right) \left( -\frac{1}{6} \sigma_1 - \frac{1}{6} \sigma_2 + \frac{1}{3} \sigma_3 \right) + \frac{2}{3} \left( -\frac{1}{6} \sigma_1 - \frac{1}{6} \sigma_2 + \frac{1}{3} \sigma_3 \right)^2 + \frac{1}{2} \sigma_4^2 + \frac{1}{2} \sigma_5^2 \\ & \left. + \frac{1}{2} \sigma_6^2 \right) / \mu + \left( 2 \left( \frac{1}{3} \sigma_1 - \frac{1}{6} \sigma_2 - \frac{1}{6} \sigma_3 \right) \left( \frac{1}{9} \sigma_1 + \frac{1}{9} \sigma_2 + \frac{1}{9} \sigma_3 \right) \right. \\ & + \frac{2}{3} \left( -\frac{2}{9} \sigma_1 - \frac{2}{9} \sigma_2 - \frac{2}{9} \sigma_3 \right) \left( \frac{1}{3} \sigma_1 - \frac{1}{6} \sigma_2 - \frac{1}{6} \sigma_3 \right) + \frac{2}{3} \left( \frac{1}{9} \sigma_1 + \frac{1}{9} \sigma_2 + \frac{1}{9} \sigma_3 \right) \left( -\frac{1}{6} \sigma_1 + \frac{1}{3} \sigma_2 - \frac{1}{6} \sigma_3 \right) \\ & \left. + \frac{2}{3} \left( \frac{1}{9} \sigma_1 + \frac{1}{9} \sigma_2 + \frac{1}{9} \sigma_3 \right) \left( -\frac{1}{6} \sigma_1 - \frac{1}{6} \sigma_2 + \frac{1}{3} \sigma_3 \right) \right) / K \\ & + \frac{\left( \frac{4}{3} \left( \frac{1}{9} \sigma_1 + \frac{1}{9} \sigma_2 + \frac{1}{9} \sigma_3 \right)^2 + \frac{2}{3} \left( -\frac{2}{9} \sigma_1 - \frac{2}{9} \sigma_2 - \frac{2}{9} \sigma_3 \right) \left( \frac{1}{9} \sigma_1 + \frac{1}{9} \sigma_2 + \frac{1}{9} \sigma_3 \right) \right) \mu}{K^2} \end{aligned}$$

sigma1[i] are the deviatoric principle stresses, sig\_tr is the mean stress

```
> deviatoric_strain_energy_in_deviatoric_stress := V0 *
collect(simplify(subs(sigma[1]=sigma1[1]+sig_tr,sigma[3]=sigma1[3]+sig_tr,sigma[2]=-(sigma1[1]+sigma1[3])+sig_tr,deviatoric_strain_energy_in_stress)),{dsig,mu,K});
```

to evaluate the magnitude of the deviatoric strain energy assume all independent deviatoric stresses are ~ dsig

```
> approximate_deviatoric_strain_energy_in_deviatoric_stress := V0 *
collect(simplify(subs(sigma[4]=dsig,sigma[5]=dsig,sigma[6]=dsig,sigma[1]=dsig+sig_tr,sigma[3]=dsig+sig_tr,sigma[2]=-(dsig+dsig)+sig_tr,deviatoric_strain_energy_in_stress)),{dsig,mu,K});
```

*deviatoric\_strain\_energy\_in\_deviatoric\_stress :=*

$$\frac{V0 \left( \frac{1}{2} \sigma_1^2 + \frac{1}{2} \sigma_1 \sigma_3 + \frac{1}{2} \sigma_3^2 + \frac{1}{2} \sigma_4^2 + \frac{1}{2} \sigma_5^2 + \frac{1}{2} \sigma_6^2 \right)}{\mu}$$

$$\text{approximate\_deviatoric\_strain\_energy\_in\_deviatoric\_stress} := \frac{3 V0 \text{dsig}^2}{\mu}$$

```
> bulk_strain_energy_in_mean_stress := V0*  
collect(simplify(subs(sigma[1]=sigma1[1]+P,sigma[3]=sigma1[3]+P,si  
gma[2]=-(sigma1[1]+sigma1[3])+P,subs(epsilon[1]=Epsilon[1],epsilon  
[2]=Epsilon[2],epsilon[3]=Epsilon[3],subs(eps_tr=Eps_tr,dilational  
_strain_energy))))),{mu,K});
```

$$\text{bulk\_strain\_energy\_in\_mean\_stress} := \frac{V0 P^2}{2 K}$$

observing that  $\mu \sim K/3$ , then the non-hydrostatic strain energy is comparable to the bulk strain energy when:

```
> eq :=  
subs(mu=K/3,approximate_deviatoric_strain_energy_in_deviatoric_str  
ess) = bulk_strain_energy_in_mean_stress;
```

$$\text{eq} := \frac{9 V0 \text{dsig}^2}{K} = \frac{V0 P^2}{2 K}$$

solving this equation for *dsig* gives the magnitude of the deviatoric/differential stress at which the deviatoric strain energy is comparable to the volumetric strain energy in terms of pressure.

```
> solve(eq,dsig);
```

$$\frac{\sqrt{2} P}{6}, -\frac{\sqrt{2} P}{6}$$

as the bulk strain energy grows as  $P^2$  the deviatoric strain energy becomes insignificant at pressures  
>  $\sqrt{18} * \text{dsig} \sim 4 \text{dsig}$ .